

Deep Learning-Assisted Citation Recommendation System Using Multi-cell RNN Approach

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Abstract— A process of retrieving relevant or suitable papers that are mostly related to the research topic while carrying research knowing the behavior and patterns of citation for mapping the disciplines and subjects. This is used increasingly for judging usually takes a huge amount of effort and time. The citation recommendation is used frequently for solving this issue on suggesting the list of candidate papers automatically which may match the references of user. On applying Deep learning (DL) models in the citation recommendation system, the performance enhancement is greatly visible in various recent research studies. Hence, in this work, a deep learning-based model is employed for the citation recommendation system. Primarily, the input dataset is taken and the function words are removed using stochastic matching pattern scheme at which the library is created. Then, the canonicalization of document is carried by means of probabilistic min-match algorithm. The data is classified finally using Multi-cell RNN (Recurrent neural network) approach after which the rank is set for citation. At last, the performance is assessed for various metrics like MAP, MRR, precision, recall, and F1-measure. The obtained results are then compared with traditional models to validate and show the enhancement of proposed model over existing schemes. It is revealed from the analysis that the proposed scheme shows improvement in results.

Index Terms—Citation recommendation system, deep learning, canonicalization of document, probabilistic min-match algorithm, Multi-cell RNN, ranking.

I. Introduction

In recent days, recommendation becomes important increasingly and too alters the communication path among the websites and users. The recommender system has been widely employed in various fields like education, scientific research, economy and so on [1]. For the researchers, identifying suitable scientific papers is considered as tedious and serious issues. The citation analysis aids in the selection of entire information sources for the organization research [2]. Typically, citation is considered as the bibliographic entry of the reference lists, bibliography, or footnote of the full document which covers information for verifying the entire original documents so as to know the relevant works. The citation analysis or reference list analysis is highly significant in all disciplines or the subjects for the knowledge that is Sciences, Social Sciences, and Humanities [3-5]. The citation analysis studies were carried for and quantifying the significance of academic research. This is highly accountable for the purpose of assigning the Impact factor of journal (JIF) which decides the journal merit ultimately at which the research work could be published.

The system of citation recommendation offers an efficient and effective way for recommending the scientific papers that are relevant for the researchers and is capable of solving the existing problems associated with citation. The citation recommendation for researchers is helpful in identifying the relevant literature review more quickly and is an emergent area in the field of research. Usually, the citation recommendation system was categorized as two kinds such as local citation and citation recommendation based on their pattern of usage.

Recently, the deep learning-based model has gained a considerable rate of interest in various fields like natural language processing and computer vision, owing not only to the performance of stellar but too the attractive learning

property of feature representing learning from the scratch. The deep learning model influence is likewise pervasive, just indicating their efficiency on applying the retrieved information and the research recommender system.

Obviously, the DL field in the recommender system is highly flourishing. Yet, with the persistent beginning of novel research works, existing framework of classification utilized so far is thus suitable no longer and a new framework is essential for the better understanding of the research field. With the rising potential and popularity of DL in recommender system, in this work a new technique is proposed. Several existing works were analyzed in subsequent literature survey section from various perspectives, and few new insights are presented in the direction of this field.

The major objective of the proposed work are as follows:

- To process the citation record data by removing function words and creating library using stochastic pattern matching algorithm.
- To employ Probabilistic min-match algorithm for the canonicalization of document.
- To classify the data by DL based Multi-cell RNN and set rank for citation.
- To estimate the of the proposed methodology performance in terms of accuracy, precision, recall and F score.

II. Related Works

Citation recommendation aids in identifying the citable items for the personalized info of the user. Many such models were presented so far for implementing the task of citation recommendation. This section offers a short review on the existing papers employed for the citation recommendation.

In the work [9], the author studies citation recommendation from the view of semantic representation or content and relations of the cited papers. At first, 4 citation contexts forms were presented and extracted as a content of cited papers by considering co-citation relationship and citation motivation as the relation of cited papers. After that, about 132 methods were designed so as to generate the cited paper's semantic vector which includes methods of four network embedding, sixteen methods on integrating the algorithms of four text representations along with four patterns of citation contents and about 112 fusion models. At last, the cited papers similarity was estimated for the purpose of citation recommendation. The evaluation technique depending on the link prediction model was designed so as to identify the suitable citation content form. The attained results show enhanced rate of performance.

The article [10] aims at offering a brief review on recent efforts on research based on DL recommender system. Additionally, the taxonomy of deep learning-based recommendation scheme was provided and devised together with the brief summary of existing models. At last, the present trends were expanded and offers a new viewpoint pertaining to their new emergent field.

The main intention of the work [11] was to present a new algorithm for the citation recommendation scheme which enables the retrieval of suitable citations for the research papers which were still in the draft stage. This method aids the researchers for selecting the suitable references between the huge number of papers available and thus making draft papers a complete one in the citation. The suggested recommendation model encloses three methods like WordNet for transforming the vector thereby setting the weight adjustments as per the structural factors and degree of information completeness for generating the citation recommender system for the incomplete drafts. The analysis shows that entire three methods could improve the accuracy of recommendation in a significant manner.

In this paper [12], the paper recommender system was initially introduced by offering the advantages and importance. Then, the methods and algorithms of recommendation system like collaborative filtering, content-based filtering, hybrid model, and graph-based filtering were reviewed. After that, the estimation method of various recommender system was carried and the open issues were summarized in paper recommender model which includes sparsity, cold start, serendipity, privacy, and the unified scholarly data standards.

A new classification approach based on DL method was suggested in the work [13] for the citation recommendation. This method uses 6 following criteria like methodologies, data factors, data representing models, recommendation types used, personalization, and the problems addressed. In addition, a comparative estimation was carried among those methods which uses similar evaluation datasets and metrics set.

A novel technique for the prediction of long-term citation of the paper was proposed in [14] depending on the citations number in first few years after the publication. So as to train the count of citation prediction scheme, an artificial neural network (ANN) was employed which was regarded as a powerful tool in machine learning having recently emerging applications in several domains that covers text and image processing. The assessment carried shows that the proposed technique outdoes existing techniques in terms of prediction accuracy both in yearly and total prediction in the number of citations.

A novel context-aware NCN dependent scheme having extra textual integration of data and the Bidirectional Encoder Representations from Transformers (BERT) scheme was proposed in [15] for enhancing the performance of citation recommender model task. For this purpose, the mechanism of extensive deep neural auto-encoder along with the self-attention-based scheme was used so as to learn flexibly both citation contextual and textual data associated in provided dataset. The performance evaluation in the standard dataset arXiv shows the efficiency and better enhancement in proposed scheme.

In the work [16], Deep Cite model was proposed which was regarded as a content dependent hybrid neural network model for the citation recommendation. Initially, BERT scheme was employed for extracting the higher-level semantic representation vectors of text, after that the multi-scale CNN approach and BiLSTM scheme were employed for attaining the sequence and local information of sentence context, and the matching of text vectors were carried in depth for generating the candidate sets. Thus, the method presented improves the citation recommendation quality efficiently. Therefore, the algorithm proposed in this paper effectively improves the quality of citation recommendation.

A context-aware citation recommendation scheme was presented in [17] depending on the endwise memory network. This model thus learns the paper and citation contexts representations correspondingly depending in the Bi-LSTM model. Also, the experimental evaluation was carried on 3 realtime datasets for estimating the model performance.

In the work [18], the author presented a novel context-aware citation recommendation model which could improve the weight matrix orthogonality essentially by exploring more appropriate patterns of citation. The experiments were conducted in the dataset CiteSeer and the attained outcome shows that the model is superior to the baseline models in entire estimated metrics.

A network embedding model was suggested in [19] and was termed as a Global Citation Recommendation which employs Generative Adversarial network (GCR-GAN). The suggested model exploits the Heterogeneous Bibliographic Network (HBN) for generating the personalized range of citation recommendations. Specifically, suggested model uses Scientific Paper Embeddings with the use of Citation-Informed Transformers (SPECTER) and an Auto-Encoder for denoising so as to learn the semantic preserving representation of graph. On comparing the baseline models, the generated recommendation by this model using DBLP and ACM datasets proves that it outdoes the baseline models on gaining about 11% & 12% enhancement with respect to Mean Average Precision (MAP) and the nDCG (Normalized Discounted Cumulative Gain) metrics, correspondingly.

A novel approach for the citation recommendation was presented in [20] on applying the content information and graph structure for attaining the representation of content-based graph. After that, network structure was encoded and the co-authorship for gaining author-based representation of graph was carried. At last, the two representations were concatenated and it would be acted as the feature vector of node that is more accurate network presentation which integrates the information of both content and author. A novel personalized citation recommendation was proposed based on the attained node vectors termed cGAN and their variation VCGAN. Once estimated on the dataset AAN, the suggested approach outdoes the existing models.

III. Proposed Work

The entire working methodology of proposed scheme is narrated in this part. The proposed system flow is given in figure 1. At first, the input data that consist of various paper, topic, and author are considered and the data is preprocessed to remove the function words. The stochastic pattern matching process is employed for reducing the total number of characters so as to increase the efficiency of searching and the comparison of similarity among testing and training database is carried. Then, the canonicalization of document is carried by means of Min-Max Normalization approach. At last, the multi-cell memory RNN classification approach is employed for

recommending similar citations and to set rank for the citation.

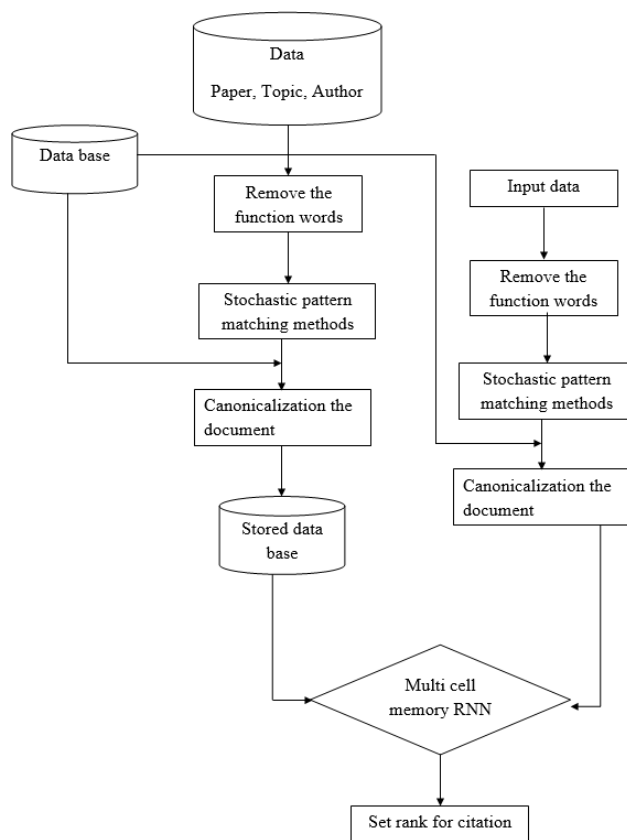


Figure 1 Proposed scheme flow

A. Data preprocessing

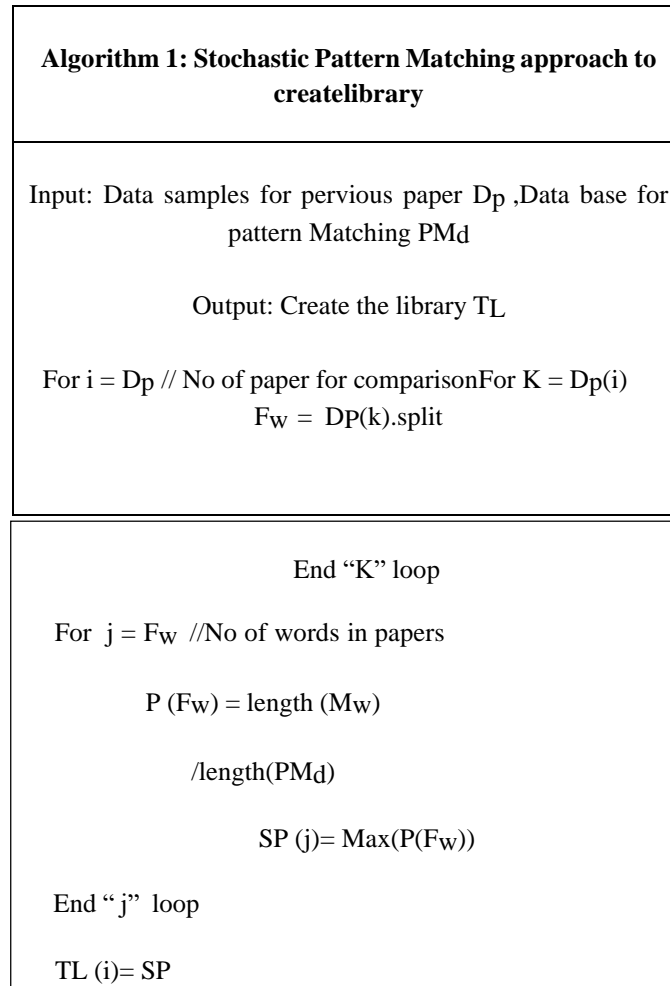
The preprocessing step is employed so as to decrease the characters number in the text. Texts are the sequence of words typically, which can be segregated by means of tokenization approach. The tokenization is carried at which the input data is being divided into minor parts of words called tokens which in turn eradicates the low priority aspects. Most texts comprise of some frequent words and the ones that occur frequently in the corpus given are denoted as stop or function words. The function words carry some less significant meaning on comparing the occurrence of other words in the document. They are frequently used ones (alike “an”, “a”, “the”, “in”) and are having no semantic relations in the context. The function words are the common and general words which typically does not contribute to the documents semantics and are having no added values for reading. The function words removal aids in choosing suitable words efficiently thereby decreasing the document structure complexity.

B. Stochastic Pattern matching

After the removal of function words, the stochastic pattern matching approach is employed. The major intention behind the use of stochastic pattern matching approach is to decrease the comparison of total no of characters among the text and pattern thus enhancing the efficiency. The enhancement of efficiency in the search process could be attained by means of altering the order at which the comparison of characters is made at every attempt and thereby selecting the shift factor which permits the pre-defined skipping of characters numbers in a text after every attempt. This algorithm aids in scanning of texts using window whose size is equivalent to pattern length. It is capable of deciding whether the relation is asserted thereby identifying their participants. Unlike ASER (activities, states, events, and their relations) at which the syntactic patterns were usually based on clauses, more general patterns were used in the proposed algorithm because there is no need to express casual relation participants as a complete clause.

The stochastic pattern matching algorithm is utilized for matching process so as to match probability of low appearance to high appearance letters in the natural English pattern string, in case of occurrence of mismatch, the

function Badchar () is used for realizing the host string pointer's forward jump thus carrying the matching priority for the lower probability characters as per the probability. By this manner, several mismatch occurrences will be predicted and thus reduce several comparison times as much as possible such that the Badchar () function might be used earlier for realizing the host string pointer's forward jump. Thus, from this algorithm the library is created. The algorithm for this is shown below.



A library is therefore created by employing this stochastic pattern matching algorithm. The canonicalization of document is described in subsequent section.

C. Probabilistic min-match algorithm for canonicalization of document

The next step in the proposed framework is the text canonicalization process. There is a need of various tasks so as to convert entire text files to some unique format, hence the rules could be more easily defined while the process of information extraction task. The process of document canonicalization denotes the process of standardizing and transforming document representation to the consistent or canonical form. This includes redundancies, variations, and some inconsistencies for ensuring varied representations or versions of similar document that are equal. For the canonicalization of document, a min-max normalization approach is used. It is a scaling process at which the features are thus re-scaled such that the information might fall in zero and one range. This undertakes the initial data linear alteration. In the process of Min-Max scaling, each features minimum value is thus converted to zero and each features maximum value is thus converted to one.

A typical algorithmic step for the document canonicalization is discussed here:

Step 1: Normalization of white space: In this step, extra whitespace characters like multiple spaces, tabs, and line breaks are removed.

Step 2: Consecutive whitespace is replaced by single space

Step 3: Lowercase conversion: All texts are transformed to lowercase so as to eliminate the case sensitivity.

Step 4: Removal of punctuation: In this punctuation marks are removed like commas, periods, exclamation marks, and question marks. However, consider the preservation of some punctuation marks in case they bear significant information (for instance, apostrophes in the contractions).

Step 5: Handling of special characters: Remove or replace special character's which does not contribute to the meaning of document like symbols of currency or the diacritics. At the same time, consider the handling of special characters depending on desired need of application or document.

Step 6: Expansion of abbreviations: the common abbreviations are replaced with the full forms so as to ensure the clarity and consistency. For this, a dictionary or lookup table is created for the typically used expansions and abbreviations.

Step 7: Resolving synonyms: Find and replace the synonymous phrases or words with the single canonical procedure. For this, consider the use of predefined mappings or thesaurus so as to standardize the synonyms.

Step 8: Elimination of stop words: Common function words or stop words are removed which do not pose any important meaning (for instance, "and", "the", "is"). The lists of predefined stop words or libraries which offer such a function is used.

Step 9: Lemmatization or stemming: Shrink the words to its root or base form for consolidating similar terms. This is applied for achieving this (for example, WordNet lemmatization, Porter stemming).

Step 10: Removal of numerical values: Eliminate the numerical values or else replace them with the token of placeholder, specifically in case the desired numbers are not that much critical to process or analyze.

Step 11: Deduplication: Identify and remove near-duplicate or duplicate contents for ensuring the representation of single canonical form. Apply techniques like similarity measures or approach. The new information is thus transmitted once the neural network updation is completed in the hierarchical structure. Likewise, every layer of neural network should attain time information. In each step of iteration, updation of new information to individual layer should be taken place such that the individual layer of network might carry some time information. At each iteration step, the neural network sends data over the unlimited network in an unlimited way of updation that happens to facilitate the depth memory cell attainment.

A typical RNN structure accomplished with time step provides the input m -dimensional sequences as y_1, y_2, \dots, y_m , then, the n -dimensional hidden layer state equation is expressed as h_1, h_2, \dots, h_n , & x_1, x_2, \dots, x_k denotes the output of k -dimensional sequence & a formula to represent the iteration is represented by:

$$t_j = w_{hy}y_j + w_{hh}h_{j-1} + c_h \quad (1)$$

$$h_j = e(t_j) \quad (2)$$

$$s_j = w_{xh}h_j + c_x \quad (3)$$

$$x_j = g(s_j) \quad (4)$$

In this, w_{hy} , w_{hh} , & w_{xh} are the representation of weight matrices, and the bias is signified as c_h , c_x . t_j denotes the input of hidden layer, and s_j signifies the input for the unit of output, and these are the k -dimensional variables. The function of non-linear that are predefined will be signified as e and g . The hierarchical data processing manner are not updated in the presented network. Nevertheless, they are trained recurrently over recursion process before the time mode. Depending on this issue, multi-cell memory which are trained with time scales is employed. Since the data is provided as an input to time steps in a continuous manner, each layer of neuron could be generated in the prior times. The hidden layer is considered that creates the differed time scales range in hierarchy and the issues are thus handled properly so as to get enhanced performance from the time series complexity.

For this type of proposed multi-cell memory RNN that are piled with the depth d of the hidden layer is thus expressed by:

$$h^d = g_h(h^{d-1}, h^d) = e_d(V^d h^d + w^d h^{d-1}) \quad (5)$$

hashing for identifying and eliminating the duplicates.

$$t \quad t \quad t-1 \quad t-1 \quad t$$

Step 12: Finalization and storing of canonicalized document for further analysis or processing.

Then, the misspelling issues are resolved for the used keywords in the process of information extraction.

D. Multi-cell memory RNN for classification

After canonicalization of document, the classification process or ranking process is carried by means of multi-cell memory RNN classifier. Typically, RNN is the perceptron model that has multilayer and comprises of feedback time loops at each layer. There is a possibility of overlaying of layers in this. In this, h^d denotes the hidden layer state in the d -th layer with time t , e_d signifies the function of non-linear which are predefined in the d -th layer, w^d denotes the d -th layer weight matrix, V^d refers to recurrent weight matrix connected at d -th layer.

Likewise, h_t denotes a state of hidden layer at l -th layer at time t , e_l denotes a nonlinear predefined function of l -th layer, W_l refers to l -th layer weight matrix, U_l denotes a recurrent weight matrix connected in the l -th layer.

The algorithm for the multi-cell memory RNN is provided here.

Algorithm 2: Multi-cell memory RNN for classification

Input : Number of layers N_L , Input Layer I_L , Output Layer O_L , Weights of layers W , Bias of layers B

Output: indexing the paper

For iter = N_t

For $i = N_c // N_c$ No of cells

$hs = 0$

$hs(i) = \tanh(W_m hs_{(i-1)} + W_{in} N_c(i) + B)$

$HS(i) = \tanh(W_{OL} * hs(i) + B)$

End “i” loop

$CL = I/N_c \sum_i^{N_c} (AB_i - PR_i)^2$

Update W and B for each N_c

End ‘iter’ loop

Avg no of citations of entire researcher's publication	14.8(max-169)
Avg no of references to entire researcher's publication	15.0(max.58)
Total no of recommending papers	100,351
Avg no of citations in recommending papers	17.9(max-175)
Avg no of references to recommending papers	15.5(max-53)

B. Performance and comparative estimation

The performance of proposed model is estimated for several metrics like MAP, MRR, precision, recall, and F1-measure. The outcomes are compared with traditional models [22] like CCF, collaborative, and synthetization-based extraction scheme.

The metrics mean average precision (MAP) and mean reciprocal rank (MRR) are employed for measuring the system ability on returning the relevant papers of research at a top rank in the list of recommendation. The formula denoting this evaluation is given below:

$$MAP = \frac{1}{N} \sum_{i \in I} \frac{1}{n_i} \sum_{k=1}^{rank(i)} P(R_k) \quad (6)$$

$$MRR = \frac{1}{N} \sum_{i \in I} \frac{1}{rank(i)} \quad (7)$$

Finally, the classification process is made and the citation N_p recommendation is carried depending on the rank. The performance estimation is carried in the subsequent section.

IV. Performance Analysis

The proposed method performance is assessed and the obtained results are projected in this part.

A. Dataset description

The publicly available dataset [21] is used in this approach for the validation of proposed scheme performance. This dataset comprises of 50 researchers' publication list whose interest of research are from various fields of computer science which ranges from software engineering, security, user interface, information retrieval, embedded system, operating systems, programming languages, and databases. Each and every citation and reference are retrieved from the Google Scholar, all other paper which cites any of the references in addition to the references of all target paper's citation. Few statistics of the used dataset is shown in table provided below:

Table 1 Input dataset statistics

Total no of researchers	50
Avg number of researcher's publication	10

Here, $rank(i)$ denotes the rank at which the relevant first paper i is identified and the number of target papers is represented by

N_p .

The MAP is estimated for the proposed model and the attained outcomes are compared with existing methods. The estimation made is given in figure 2. The analysis reveals that the proposed model provided high rate of MAP than other existing schemes.

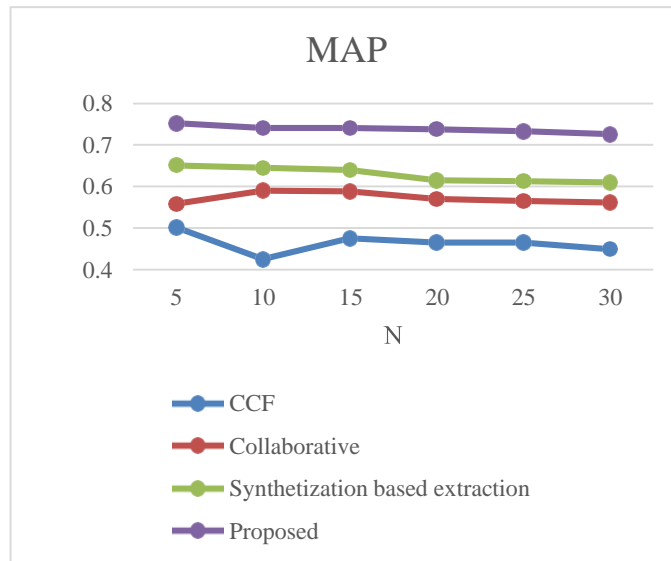


Figure 2 Estimation and comparison of MAP

The MRR is estimated for the proposed model and the attained outcomes are compared with existing methods. The estimation made is given in figure 3. The analysis reveals that the proposed model provided high rate of MRR than other existing approaches.

The recall value is estimated for the proposed model and the attained outcomes are compared with traditional methods. The estimation made is given in figure 5. The analysis exposes that the proposed model provided high rate of recall than other traditional models. The recall estimation by using the following formula:

$$Recall = \frac{\sum(relevant\ papers) \cap \sum(retrieved\ papers)}{\sum(retrieved\ papers)}$$

(9)

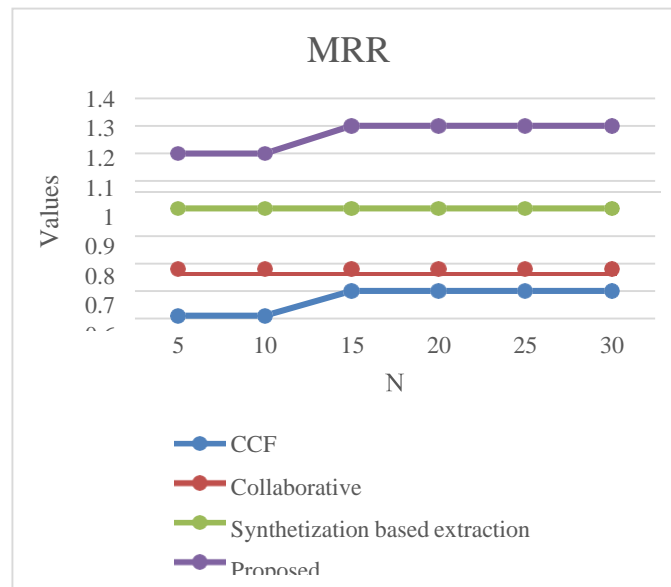


Figure 3 Estimation and comparison of MRR

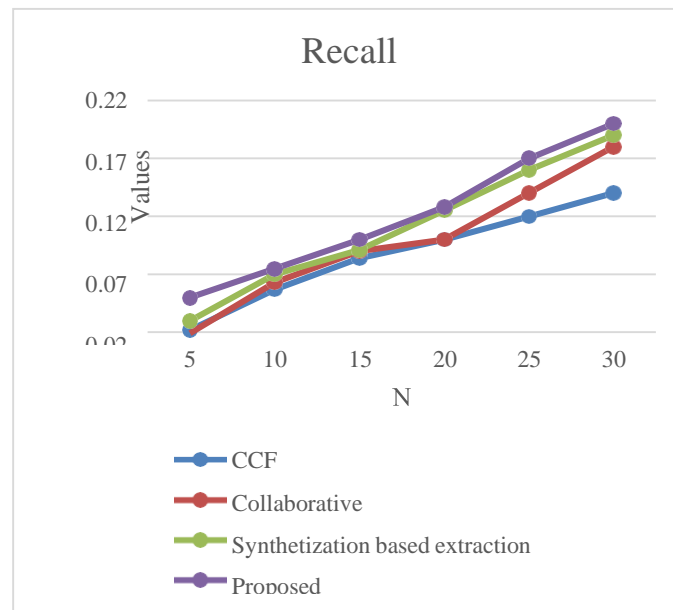


Figure 5 Estimation and comparison of Recall

The precision is estimated for the proposed model and the attained outcomes are compared with existing methods. the estimation made is given in figure 4. The analysis exposes that the proposed model provided high rate of precision than other traditional models. The precision estimation by using the following formula:

The F1-measure value is estimated for the proposed model and the attained outcomes are compared with traditional methods.

$$Precision = \frac{\sum(relevant\ papers) \cap \sum(retrieved\ papers)}{\sum(retrieved\ papers)} \quad (8)$$

The F1-measure estimation by using the following formula:

$$F1 = \frac{2 \times precision \times recall}{precision + recall} \quad (10)$$

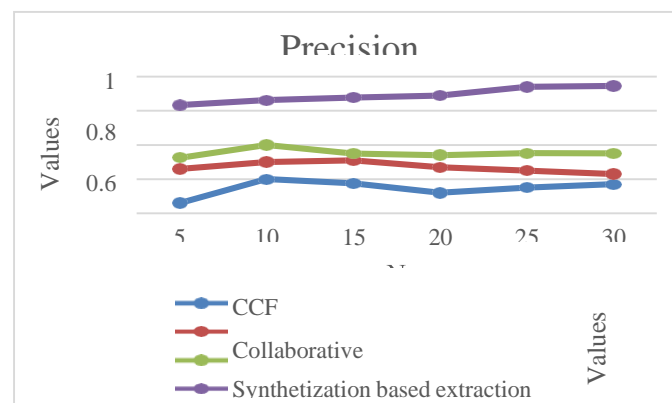


Figure 4 Estimation and comparison of MRR

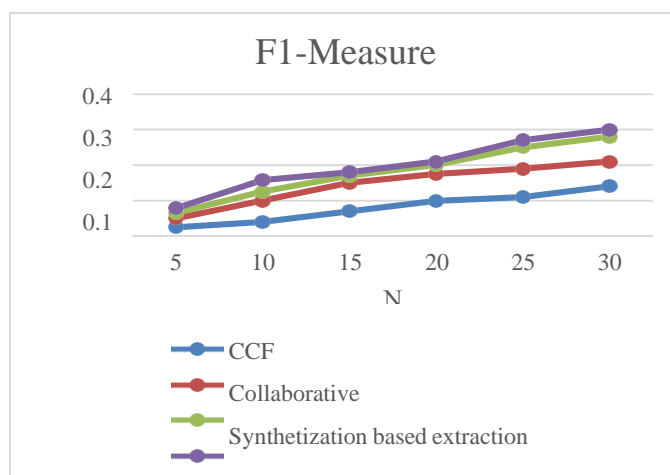


Figure 5 Estimation and comparison of F1-Measure

The estimation made is given in figure 6. The analysis exposes that the proposed model provided high rate of F1-measure than other traditional models. Thus, from the entire outcome, it is evident that the proposed method is offering enhanced outcome than other traditional models.

V. Conclusion

A DL based citation recommendation system was proposed in this manuscript. The input dataset was retrieved from which the function words were removed by means of stochastic matching pattern approach which too creates the library. After that, canonicalization of document was carried using probabilistic min-match approach. The data was then classified with the use of Multi-cell RNN (Recurrent neural network) technique which too is responsible for setting rank for citation. In the end, the performance was measured for several metrics such as MAP, MRR, precision, recall, & F1-measure. The results obtained were compared with existing models to legalize and illustrate the proposed model enhancement over traditional systems. It was revealed from the output that the proposed scheme offers improvement in performance on comparing existing approaches.

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